



Attorney's Docket No. 208-6164

1741  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gao et al.  
Serial No.: 10/040,047  
Filed: October 29, 2001  
For: *POSITIVE ELECTRODE ACTIVE MATERIALS FOR SECONDARY  
BATTERIES AND METHODS OF PREPARING SAME*

RECEIVED

OCT 06 2003

TC 1700

September 29, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)**

Sir:

Attached is a form PTO-1449, together with a copy of each of the identified document(s). It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully Submitted,

Devin R. Jensen  
Registration No. 44,805

Customer Number 20792  
Myers Bigel Sibley & Sajovec  
Post Office Box 37428  
Raleigh, NC 27627  
Telephone: (919) 854-1400  
Facsimile: (919) 854-1401

**Certificate of Mailing under 37 CFR 1.8 (or 1.10)**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on September 29, 2003.

Sloan Smith

<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office				Attorney Docket Number 208-6164		Serial No. 10/040.047	
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				Applicants: Gao et al.			
				Filing Date: October 29, 2001		Group 1741	
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	6,395,426	5/28/02	Imachi et al.	429	231.3	<b>RECEIVED</b> <b>OCT 06 2003</b> <b>TC 1700</b>
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes   No
	2.	EP0997957B1	10/28/99	EP	H01M	4/52	X
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							

EXAMINER \_\_\_\_\_  
 \*EXAMINER \_\_\_\_\_

DATE CONSIDERED \_\_\_\_\_

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.